



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,659	12/30/2000	Brian James Martin	55,419 (70158)

CONFIRMATION NO. 8881

## FORMALITIES LETTER



\*OC000000005765693\*

Dike, Bronstein, Roberts & Cushman, LLP  
Intellectual Property Practice Group  
EDWARDS & ANGELL, LLP  
130 Water Street  
Boston, MA 02109

Date Mailed: 02/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2001 EEKUBAY1 00000089 09751659

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:102	480.00 OP
03 FC:103	396.00 OP
04 FC:105	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$894.
  - \$414 for 23 total claims over 20.
  - \$480 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1734.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each

sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be 'A. S. K.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*Section 8*

Attorney Docket No. 55,419 (70158)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Brian James Martin

EXAMINER: Unassigned

SERIAL NO.: 09/751,659

GROUP: 2152

FILED: December 30, 2000

FOR: PARALLEL DISPATCH WAIT SIGNALING METHOD, METHOD FOR  
REDUCING CONTENTION OF HIGHLY CONTENTED DISPATCHER LOCK,  
AND RELATED OPERATING SYSTEMS, MULTIPROCESSOR COMPUTER  
SYSTEMS AND PRODUCTS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 22, 2001.

By: Norah C. Sullivan  
Norah C. Sullivan

**RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED  
AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

The following is in response to the Notice to File Missing Parts- Filing Date  
Granted (PTO-1533) mailed February 14, 2000, in the above referenced application.

Applicants believe that no extension of time is required since this response  
is being filed before the expiration of the specified time period. Applicants, however,  
conditionally petition for an extension of time to provide for the possibility that such a  
petition has been inadvertently overlooked and is required. As provided below charge  
Deposit Account No. **04-1105** for any required fee.

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts-Filing Date Granted for the subject application.
2. A properly signed Assignment and Declaration in compliance with 37 CFR §1.63 with power of attorney, identifying the application by the above Application Number and date.

Completion of application filing fees as calculated below:

1. Basic Filing Fee ..... \$710.00
  2. Total additional claim fees, \$396 for 22 claims over 20  
and \$480 for 6 independent claims over 3 ..... 876.00
  3. Surcharge for late payment of filing fee and/or late  
filing of original declaration or oath ..... 130.00
- TOTAL ..... \$ 1,716.00

Enclosed herewith is a check for \$1,716.00 in payment of the total fee due. In this regard Applicant would note that while the Notice to File Missing Parts indicates that there are 43 total claims, according to Applicant's copy of the as-filed application there are only 42 total claims. Accordingly, Applicant adjusted the total additional claims fee to be paid to reflect 42 total claims. If, however, Applicant is incorrect then the Commissioner is authorized to change the deposit account as more particularly provided below.

Brian James Martin  
09/751,659  
RESPONSE TO MISSING PARTS NOTICE  
Page 3

Applicant also respectfully requests a corrected official filing to reflect any corrections resulting from the within Response and/or the enclosed declaration.

Applicant believes that additional fees are not required, however, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**. In particular, the Commissioner is hereby authorized to charge any deficiencies in the following fees: filing fees (37 C.F.R. §1.16(a), (f) or (g)); fees associated with the presentation of extra claims (37 C.F.R. §1.16(b), (c) and (d)); surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application (37 C.F.R. §1.16(e)); extension fees pursuant to § 1.136(a) (37 C.F.R. §1.17(a)(1)-(5)); and application processing fees (37 C.F.R. §1.17).

Respectfully submitted,

**EDWARDS & ANGELL**  
DIKE, BRONSTEIN, ROBERTS  
& CUSHMAN  
*Intellectual Property Practice Group*

Date: March 22, 2001

By: 

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